

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:	)	Group Art Unit: 1794
	)	
ABRAMS, Louis Brown	)	Confirmation No.: 4531
	)	
Serial No.: 09/735,721	)	Examiner: JUSKA, CHERYL ANN
	)	
Filed: 12-13-2000	)	<u>CLARIFICATION ON THE CERTIFICATION</u>
	)	<u>OF THE INFORMATION DISCLOSURE</u>
Atty. File No.: 4811-9-CIP	)	<u>STATEMENT SUBMITTED AUGUST 14,</u>
	)	<u>2006</u>
For: " FLOCKED TRANSFER AND	)	
ARTICLE OF MANUFACTURE	)	Electronically Submitted
INCLUDING THE APPLICATION	)	
OF THE TRANSFER BY	)	
THERMOPLASTIC POLYMER	)	
FILM "	)	

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

On August 14, 2006, Applicant submitted an Information Disclosure Statement (IDS) in the above-identified patent application. The IDS indicated that there was a certification provided as specified by 37 CFR 1.97(e) relating to both 37 CFR 1.97(c) and 37 CFR 1.97(d). The IDS is attached hereto as Exhibit A.

On November 15, 2006, the Examiner issued an Official Action for the above-identified case. The Official Action was accompanied by a List Of References Cited By Applicant And Considered By Examiner containing the considered IDS of August 14, 2006.

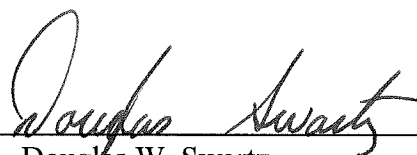
Applicant would like to clarify that, while the Applicant believed the certification to be true at the time of filing the IDS, no certification was required since the IDS was filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 CFR1.114. Therefore, the IDS of August 14, 2006, should have checked only the "fee box"

corresponding to 37 CFR 1.97(b) and not the boxes relating to both 37 CFR 1.97(c) and 37 CFR 1.97(d).

Although no fees are believed due in connection with this communication, please charge any fees deemed necessary to Deposit Account No. 19-1970. If additional information is required please contact the undersigned.

Respectfully submitted,

SHERIDAN ROSS P.C.

By:   
Douglas W. Swartz  
Registration No. 37,739  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: March 10, 2008

# EXHIBIT A

1771  
IFW



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of:

ABRAMS, Louis Brown

Application No.: 09/735,721

Filed: December 13, 2000

Atty. Docket No. 4811-9-CIP

For: FLOCKED TRANSFER AND  
ARTICLE OF MANUFACTURE  
INCLUDING THE APPLICATION OF  
THE TRANSFER BY THERMO-  
PLASTIC POLYMER FILM

Group Art Unit: 1771

Examiner: Juska, C.

Confirmation No.: 4531

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

<p style="text-align: center;">CERTIFICATE OF MAILING</p> <p>I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON <u>8/14/06</u></p> <p style="text-align: center;">SHERIDAN ROSS P.C.</p> <p>BY: <i>Christine Jacques</i></p>
--

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Dear Sir:

The references cited on attached Form PTO-SB08 are being called to the attention of the Examiner.

- ☒ Copies of the cited non-patent and/or foreign references are enclosed herewith.
- ☒ Other: See the Office Action from a corresponding application attached hereto and listed on the attached Form 1449, which is related to the above application.

Submission of the above information is not intended as an admission that any item is citable under the statutes or rules to support a rejection, that any item disclosed represents analogous art, or that those skilled in the art would refer to or recognize the pertinence of any reference without the benefit of hindsight, nor should an inference be drawn as to the pertinence of the references based on the order in which they are presented. Submission of this statement should not be taken as an indication that a search has been conducted, or that no better art exists.

Application No. 09/621,830  
Atty. Docket No. 4811-9

It is respectfully requested that the cited information be expressly considered during the prosecution of this application and the references made of record therein.

### FEES

<input type="checkbox"/>	<p><b>37 CFR 1.97(b):</b> No fee is believed due in connection with this submission, because the information disclosure statement submitted herewith is satisfies one of the following conditions ("X" indicates satisfaction):</p> <ul style="list-style-type: none"><li><input type="checkbox"/> Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d), or</li><li><input type="checkbox"/> Within three months of the date of entry into the national stage of an international application as set forth in 37 CFR 1.491 or</li><li><input type="checkbox"/> Before the mailing date of a first Office Action on the merits, or</li><li><input type="checkbox"/> Before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114.</li></ul> <p>Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</p>
<input checked="" type="checkbox"/>	<p><b>37 CFR 1.97(c):</b> The information disclosure statement transmitted herewith is being filed after all the above conditions (37 CFR 1.97(b)), but before the mailing date of one of the following conditions:</p> <ul style="list-style-type: none"><li>(1) a final action under 37 C.F.R. 1.113 or</li><li>(2) a notice of allowance under 37 C.F.R. 1.311, or</li><li>(3) an action that otherwise closes prosecution in the application.</li></ul> <p>This Information Disclosure Statement is accompanied by:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> A Certification (below) as specified by 37 C.F.R. 1.97(e). Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</li></ul> <p style="text-align: center;">OR</p> <ul style="list-style-type: none"><li><input type="checkbox"/> Please charge Deposit Account 19-1970 in the amount of \$180.00 for the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement. Please credit any overpayment or charge any underpayment to Deposit Account 19-1970.</li></ul>
<input checked="" type="checkbox"/>	<p><b>37 CFR 1.97(d):</b> This Information Disclosure Statement is being submitted after the period specified in 37 CFR 1.97(c).</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> This information Disclosure Statement includes a Certification (below) as specified by 37 C.F.R. 1.97(e)</li></ul> <p style="text-align: center;">AND</p> <ul style="list-style-type: none"><li><input type="checkbox"/> Applicants hereby requests consideration of the reference(s) disclosed herein. Please charge Deposit Account 19-1970 in the amount of \$180.00 under 37 C.F.R. 1.17(p). Please credit any overpayment or charge any underpayment to Deposit Account 19-1970. Election to pay the fee should not be taken as an indication that applicant(s) cannot execute a certification.</li></ul>

Application No. 09/621,830  
Atty. Docket No. 4811-9

**Certification (37 C.F.R. 1.97(e))**  
(Applicable only if checked)

☒ The undersigned certifies that:

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(1).

☐ A copy of the communication from the foreign patent office is enclosed.

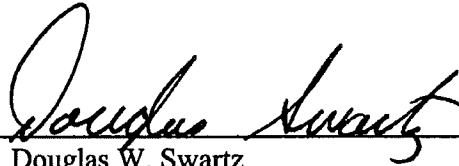
OR

☒ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,

SHERIDAN ROSS P.C.

By: \_\_\_\_\_



Douglas W. Swartz

Registration No. 37,739

1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

Date: \_\_\_\_\_

August 14, 2006

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

AUG 18 2006

Sheet

1

of

1

## **Complete if Known**

Application Number	09/735,721
Filing Date	December 13, 2000
First Named Inventor	Louis Brown Abrams
Art Unit	1771
Examiner Name	Cheryl Ann Juska
Attorney Docket Number	4811-9-CIP

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> ; Number <sup>3</sup> ; Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
		-				

## **OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

Examine r Initials*	Cite No. <sup>1</sup>	
	AA	June 16, 2006 Office Action In Re Application Of Louis Brown Abrams; Application No. 10/670,091; filed September 23, 2003; Atty. Docket No. 4811-9-CON

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance and not considered. Include copy of this form with next communication to applicant.